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|---|-----|-----------------|----------|----------------------------------|-------|-----------------------------|----------------------------------|------------------|
| <b>INFORMATION DISCLOSURE CITATION</b>  |     |                 |          | Docket Number<br>96-108-C2       |       | Serial Number<br>09/654,933 |                                  |                  |
|   |     |                 |          | Applicants<br>Walker et al.      |       |                             |                                  |                  |
|   |     |                 |          | Filing Date<br>September 1, 2000 |       | Group Art Unit<br>3694      |                                  |                  |
|   |     |                 |          |                                  |       |                             |                                  |                  |
| <b>U.S. PATENT DOCUMENTS</b>  |     |                 |          |                                  |       |                             |                                  |                  |
| EXAMINER<br>INITIAL   | REF | DOCUMENT NUMBER | DATE     | NAME                             | CLASS | SUB-<br>CLASS               | FILING<br>DATE IF<br>APPROPRIATE |                  |
| /E.C./  | A   | 6,049,782       | 04/11/00 | Gottesman                        |       |                             |                                  |                  |
| /E.C./  | B   | 6,012,047       | 01/04/00 | Mazonas                          |       |                             |                                  |                  |
| /E.C./  | C   | 5,987,434       | 11/16/99 | Libman                           |       |                             |                                  |                  |
| /E.C./  | D   | 5,870,721       | 02/09/99 | Norris                           |       |                             |                                  |                  |
| /E.C./  | E   | 5,940,811       | 08/17/99 | Norris                           |       |                             |                                  |                  |
| /E.C./  | F   | 5,983,206       | 11/09/99 | Oppenheimer                      |       |                             |                                  |                  |
| /E.C./  | G   | 5,673,402       | 09/30/97 | Ryan                             |       |                             |                                  |                  |
| /E.C./  | H   | 3,221,156       | 11/30/65 | Engstfeld                        |       |                             |                                  |                  |
| /E.C./  | I   | 4,736,294       | 04/05/88 | Gill                             |       |                             |                                  |                  |
| <b>FOREIGN PATENT DOCUMENTS</b>   |     |                 |          |                                  |       |                             |                                  |                  |
|   | REF | DOCUMENT NUMBER | DATE     | COUNTRY                          | CLASS | SUB-<br>CLASS               | Translation                      |                  |
|   |     |                 |          |                                  |       |                             | Yes                              | No               |
| <b>OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)</b>   |     |                 |          |                                  |       |                             |                                  |                  |
|   |     |                 |          |                                  |       |                             |                                  |                  |
| EXAMINER  |     |                 |          | /Ella Colbert/ (12/28/2010)      |       |                             |                                  | DATE CONSIDERED: |
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**U.S. PATENT DOCUMENTS**

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|---------------------|-----|------------------|----------|----------|-------|---------------|--------------------------------------|
| /E.C./              | J   | 4,953,085        | 08/28/90 | Atkins   |       |               |                                      |
|                     | K   | 5,481,647        | 01/02/96 | Brody    |       |               |                                      |
|                     | L   | 5,576,951        | 11/19/96 | Lookwood |       |               |                                      |
|                     | M   | 5,774,883        | 06/30/98 | Andersen |       |               |                                      |
|                     | N   | 5,866,889        | 02/02/99 | Weiss    |       |               |                                      |
|                     | O   | 5,689,649        | 11/18/97 | Altman   |       |               |                                      |
| /E.C./              | P   | 5,765,144        | 06/09/98 | Larche   |       |               |                                      |
|                     |     |                  |          |          |       |               |                                      |
|                     |     |                  |          |          |       |               |                                      |
|                     |     |                  |          |          |       |               |                                      |
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**FOREIGN PATENT DOCUMENTS**

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|--|-----|-----------------|------|---------|-------|---------------|-------------|----|
|  |     |                 |      |         |       |               | Yes         | No |
|  |     |                 |      |         |       |               |             |    |
|  |     |                 |      |         |       |               |             |    |
|  |     |                 |      |         |       |               |             |    |

**OTHER DOCUMENTS** *(Including author, Title, Date, Pertinent Pages, Etc.)*

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|  |    | <b>Applicants</b><br>Walker et al.  |                                       |
|  |    | <b>Filing Date</b><br>September 1, 2000   | <b>Group Art Unit</b><br>3694         |
| <b>OTHER DOCUMENTS</b> <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>   |    |   |                                       |
| /E.C./   | R  | Complaint for Patent Infringement, Permanent Injunction, And Damages, Walker Digital, LLC vs. Capital One Services, United States District Court, Central District of California, dated November 12, 2009, 44 pp. |                                       |
|  | S  | First Amended Complaint For Patent Infringement, Permanent Injunction, And Damages, dated January 13, 2010, 45 pp.  |                                       |
|  | T  | Answer to First Amendment Complaint of Defendants Capital One Services, LLC, Capital One Bank (USA), N.A. and Capital One Financial Corporation, January 20, 2010, 9 pp.  |                                       |
|  | U  | Counterclaims of Capital One Services, LLC and Capital One Bank (USA) against Walker Digital, LLC, dated January 20, 2010, 11 pp.   |                                       |
|  | V  | Counterdefendant Walker Digital, LLC's Reply to Counterclaims of Capital One Services, LLC and Capital One Bank (USA), N.A. Against Walker Digital, LLC, dated January 16, 2010, 10 pp.                           |                                       |
|  | W  | Defendants' And Counterclaimants' First Set of Interrogatories, dated March 15, 2010, 11 pp.  |                                       |
|  | X  | Plaintiff's Objections and Responses to Interrogatories Nos. 1-7, 8-11 and 13, dated April 14, 2010, 33 pp.   |                                       |
|  | Y  | Walker Digital's Initial Disclosure to Capital One, dated April 14, 2010, 2 pp.   |                                       |
|  | Z  | Plaintiff-Counterclaimant Walker Digital, LLC's Initial Disclosure of Asserted Claims and Infringement Contentions, dated April 14, 2010, 55 pp.  |                                       |
|  | A1 | Defendants' and Counterclaimants' Second Set of Interrogatories, dated April 29, 2010, 8 pp.  |                                       |
|  | B1 | Plaintiff and Counterdefendant Walker Digital's Objections and Responses to Interrogatories Nos. 16-18, dated May 14, 2010, 10 pp.  |                                       |
|  | C1 | Plaintiff and Counterdefendant Walker Digital, LLC's Motion for a MARKMAN Hearing To Construe Disputed Claim Terms, dated April 23, 2010, 3 pp.   |                                       |
|  | D1 | Proposed Order Re Plaintiff-Counterdefendant Walker Digital, LLC's Motion for a MARKMAN Hearing To Construe Disputed Claim Terms, 3 pp. <b>Date Unknown.</b>  |                                       |
| /E.C./   | E1 | Memorandum In Support of Plaintiff-Counterdefendant Walker Digital, LLC's Motion for a Markman Hearing To Construe Disputed Claim Terms, dated May 6, 2010, 31 pp.  |                                       |
| <b>EXAMINER</b>  |    | /Ella Colbert/ (12/28/2010)   | <b>DATE CONSIDERED:</b><br>12/28/2010 |
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|  |    | 96-108-C2  | 09/654,933                    |
|  |    | <b>Applicants</b><br>Walker et al.   |                               |
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| <b>OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)</b>  |    |  |                               |
| /E.C./   | F1 | Declaration of Professor Ronald Mann in Support of Plaintiff-Counterdefendant Walker Digital, LLC's Motion for a Markman Hearing, dated May 6, 2010, 16 pp.                            |                               |
|  | G1 | Declaration of Richard Srennicki in Support of Plaintiff-Counterdefendant Walker Digital, LLC's Motion for a Markman Hearing, dated May 6, 2010, 10 pp.                                |                               |
|  | H1 | Declaration of Alan P. Block in Support of Plaintiff-Counterdefendant Walker Digital, LLC's Motion for a Markman Hearing, dated May 6, 2010, 49 pp.                                    |                               |
|  | I1 | Defendants' and Counterclaimants' Third Set of Interrogatories, dated May 12, 2010, 13 pp.   |                               |
|  | J1 | Defendants; Motion for Summary Judgment of Non-Infringement, dated May 13, 2010, 3 pp.   |                               |
|  | K1 | Memorandum of Points and Authorities in Support of Defendants' Motion for Summary Judgment of Non-Infringement (Redacted Version), dated May 13, 2010, 49 pp.                          |                               |
|  | L1 | Notice of Filing Document Under Seal, dated May 13, 2010, 51 pp.   |                               |
|  | M1 | Memorandum in Opposition to Defendant Capital One's Motion For Summary Judgment of Non-Infringement (Redacted Version), dated May 24, 2010, 35 pp.                                     |                               |
|  | N1 | Declaration of Lawrence M. Hadley in Support of Plaintiff Walker Digital, LLC's Opposition to Capital One's Motion for Summary Judgment of Non-Infringement, dated May 24, 2010, 9 pp. |                               |
|  | O1 | Declaration of Professor Richard E. Korf in Support of Walker Digital, LLC's Opposition to Capital One's Motion for Summary Judgment of Non-Infringement, dated May 24, 2010, 16 pp.   |                               |
|  | P1 | Declaration of Professor Ronald J. Mann in Support of Walker Digital, LLC's Opposition to Capital One's Motion for Summary Judgment of Non-Infringement, dated May 24, 2010, 3 pp.     |                               |
|  | Q1 | Reply Declaration of Ryan Malloy in Support of Defendants' Motion for Summary Judgment of Non-Infringement, dated May 27, 2010, 14 pp.   |                               |
|  | R1 | Declaration of Michael Shamos, PH.D., J.D. in Support of Defendants' Motion for Summary Judgment of Non-Infringement, dated May 27, 2010, 6 pp.  |                               |
| /E.C./   | S1 | Defendants' Reply in Support of Defendants' Motion for Summary Judgment of Non-Infringement, dated May 27, 2010, 23 pp.  |                               |
| <b>EXAMINER</b>  |    | <b>DATE CONSIDERED:</b>  |                               |
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|   |    | Applicants<br>Walker et al.  |                             |
|   |    | Filing Date<br>September 1, 2000   | Group Art Unit<br>3694      |
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| /E.C./  | T1 | Plaintiff and Counterdefendant Walker Digital's Objections to Third Set of Interrogatories Nos. 19-24, dated June 1, 2010, 13 pp.  |                             |
|   | U1 | Memorandum Opinion, dated June 8, 2010, 19 pp.   |                             |
|   | V1 | Order, dated June 8, 2010, 1 pg.   |                             |
|   | W1 | Supplemental Brief by Plaintiff Walker Digital, LLC in Opposition to the Motion by Defendants Capital One for Summary Judgment of Non-Infringement, dated June 24, 2010, 14 pp.  |                             |
|   | X1 | Declaration of Professor Ronald J. Mann in Support of Walker Digital, LLC's Supplemental Brief in Opposition to the Motion by Defendant Capital One for Summary Judgment of Non-Infringement, dated June 24, 2010, 3 pp. |                             |
|   | Y1 | Declaration of Thomas B. Watson in Support of Walker Digital, LLC's Supplemental Brief in Opposition to the Motion by Defendant Capital One for Summary Judgment of Non-Infringement, dated June 24, 2010, 3 pp.         |                             |
|   | Z1 | Declaration of Richard E. Korf in Support of Walker Digital, LLC's Supplemental Brief in Opposition to Defendant Capital One's Motion for Summary Judgment of Non-Infringement, dated June 24, 2010, 4 pp.               |                             |
|   | A2 | Defendant's Supplemental Brief in Support of Defendants' Motion for Summary Judgment of Non-Infringement, dated June 24, 2010, 17 pp.  |                             |
|   | B2 | Declaration of Richard Elliot in Support of Defendants' Supplemental Brief on Defendants' Motion for Summary Judgment of Non-Infringement, dated June 24, 2010, 11 pp.   |                             |
|   | C2 | Declaration of Joshua Hartman in Support of Defendants' Supplemental Brief in Support of Defendants' Motion for Summary Judgment of Non-Infringement, dated June 24, 2010, 5 pp.   |                             |
|   | D2 | Supplemental Declaration of Michael Shamos, PH.D, J.D. in Support of Defendants' Motion for Summary Judgment of Non-Infringement, dated June 24, 2010, 5 pp.   |                             |
|   | E2 | Notice of Filing of Official Transcript/Judgment and Order, dated July 8, 2010, 4 pp.  |                             |
| /E.C./  | F2 | Hearing Transcript, dated July 2, 2010, 55 pp.   |                             |
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|   |    | Applicants  |                             |
|   |    | Walker et al.   |                             |
|   |    | Filing Date   | Group Art Unit              |
|   |    | September 1, 2000   | 3694                        |
| <b>OTHER DOCUMENTS</b> <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>  |    |   |                             |
| /E.C./  | G2 | Notice of Plaintiff's Motion, Motion and Memorandum to Amend Judgment, dated July 23, 2010, 14 pp.  |                             |
|   | H2 | Capital One's Opposition to Plaintiff's Motion to Amend Judgment Pursuant to Rule 59(e) F.R.C.P., dated August 30, 2010, 8 pp.              |                             |
|   | I2 | Reply in Support of Plaintiff's Motion to Amend Judgment Pursuant to Rule 59(e) F.R.C.P., dated August 30, 2010, 12 pp.                     |                             |
|   | J2 | Declaration of Lawrence M. Hadley in Support of Walker Digital, LLC's Reply In Support of its Motion to Amend, dated August 30, 2010, 8 pp. |                             |
|   | K2 | Proposed Order Granting Plaintiff's Motion to Amend Judgment Pursuant to Rule 59(e) F.R.C.P., dated August 30, 2010, 1 pg.                  |                             |
|   | L2 | Order Granting Plaintiff's Motion to Amend Judgment Pursuant to Rule 59(e) F.R.C.P., dated September 2, 2010, 1 pg                          |                             |
|   | M2 | Hearing on Motions, dated September 2, 2010, 20 pp.   |                             |
|   | N2 | Notice of Appeal, dated September 14, 2010, 3 pp.   |                             |
|   | O2 | Resume of Michael Ian Shamos, 32 pp. <b>Date Unknown.</b>   |                             |
|   | P2 | Report of Expert Michael I. Shamos, PH.D, J.D. concerning Invalidity, dated June 16, 2010, 46 pp.   |                             |
|   | Q2 | Declaration of Richard Von Schmidt-Pauli, dated June 15, 2010, 8 pp.  |                             |
|   | R2 | Declaration of Allan Redstone, dated June 14, 2010, 5 pp.   |                             |
|   | S2 | Declaration of Stephen Gesner, dated June 15, 2010, 3 pp.   |                             |
| /E.C./  | T2 | Confused by Credit Offers? There's help, Boston Globe, dated December 1, 1996, 2 pp.  |                             |
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